

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>PDC/AB/20485</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/IB 99/ 00461</b>	International filing date (day/month/year) <b>08/03/1999</b>	(Earliest) Priority Date (day/month/year) <b>06/03/1998</b>
Applicant <b>CANAL+ SOCIETE ANONYME et al.</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

- a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

- the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).
- b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :
- contained in the international application in written form.
  - filed together with the international application in computer readable form.
  - furnished subsequently to this Authority in written form.
  - furnished subsequently to this Authority in computer readable form.
  - the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
  - the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2.  Certain claims were found unsearchable (See Box I).

3.  Unity of invention is lacking (see Box II).

4. With regard to the **title**,

- the text is approved as submitted by the applicant.
- the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

- the text is approved as submitted by the applicant.
- the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

- as suggested by the applicant.
- because the applicant failed to suggest a figure.
- because this figure better characterizes the invention.

6

None of the figures.

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/00461

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6 H04N7/00 H04N5/44 H04N7/173 G06F13/00

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04N G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 306 702 A (HONEYWELL BULL) 15 March 1989	1-5, 7-10, 16, 17
Y	see column 1, line 30 - line 35; figure 1 see column 7, line 46 - column 8, line 24 see column 9, line 25 - line 30	6, 11
X	EP 0 435 370 A (PHILIPS NV) 3 July 1991 see column 1, line 10 - line 29 see column 6, line 33 - line 39	1, 2, 17
X	WO 97 44943 A (TELECOM FINLAND OY) 27 November 1997 see page 5, line 29 - page 6, line 14	1
Y	EP 0 059 293 A (IBM) 8 September 1982 see abstract	6
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

° Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

31 May 1999

07/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Raeymaekers, P

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/IB 99/00461

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	MOHAMMED I ET AL: "DESIGN FOR DYNAMIC USER-ROLE-BASED SECURITY" COMPUTERS & SECURITY INTERNATIONAL JOURNAL DEVOTED TO THE STUDY OF TECHNICAL AND FINANCIAL ASPECTS OF COMPUTER SECURITY, vol. 13, no. 8, 1 January 1994, pages 661-671, XP000481481 see the whole document ---	1-10, 16, 17
Y	WO 97 41541 A (VERIFONE INC ;WILLIAMS HUMPHREY (US); HUGHES KEVIN (US); PARMAR BI) 6 November 1997	11
A	see page 11, line 1 - line 5 see page 11, line 25 - page 12, line 5 see page 23, line 1 - line 5 -----	12-15

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No

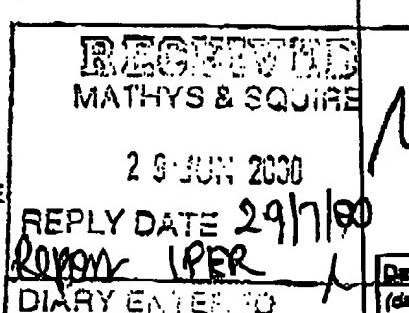
PCT/IB 99/00461

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0306702	A 15-03-1989	US 4858117 A AU 611468 B AU 2052288 A CA 1315007 A CN 1033119 A,B DE 3889816 D DE 3889816 T DK 439088 A ES 2053640 T FI 883566 A JP 1099147 A JP 1865197 C KR 9403325 B MX 166611 B NO 174528 B YU 151988 A		15-08-1989 13-06-1991 09-02-1989 23-03-1993 24-05-1989 07-07-1994 19-01-1995 08-02-1989 01-08-1994 08-02-1989 18-04-1989 26-08-1994 20-04-1994 21-01-1993 07-02-1994 31-08-1991
EP 0435370	A 03-07-1991	US 5005084 A DE 69007600 D DE 69007600 T JP 5014757 A		02-04-1991 28-04-1994 22-09-1994 22-01-1993
WO 9744943	A 27-11-1997	FI 964200 A AU 2900297 A EP 0895687 A		22-11-1997 09-12-1997 10-02-1997
EP 0059293	A 08-09-1982	BR 8200851 A CA 1172381 A JP 57150044 A		28-12-1982 07-08-1984 16-09-1982
WO 9741541	A 06-11-1997	AU 2811897 A		19-11-1997

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

COZENS, Paul Denis  
MATHYS & SQUIRE  
100 Gray's Inn Road  
London WC1X 8AL  
GRANDE BRETAGNE



PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT  
(PCT Rule 71.1)

Date of mailing  
(day/month/year)

27.06.00

Applicant's or agent's file reference  
PDC/AB/20485

IMPORTANT NOTIFICATION

International application No.  
PCT/IB98/00461

International filing date (day/month/year)  
08/03/1998

Priority date (day/month/year)  
08/03/1998

Applicant

CANAL+ SOCIETE ANONYME et al.

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 99(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA

European Patent Office  
D-80238 Munich  
Tel. +49 89 2399 - 0 Tx: 523656 epmu 6  
Fax: +49 89 2399 - 4485

Authorized officer

Comnudet-Henschel, V

Tel. +49 89 2399-7371



## PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant or agent's file reference <b>PDC/AB/20455</b>	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. <b>PCT/IB99/00461</b>	International filing date (day/month/year) <b>08/03/1999</b>	Priority date (day/month/year) <b>08/03/1998</b>
International Patent Classification (IPC) or national classification and IPC <b>H04N7/00</b>		
Applicant <b>CANAL+ SOCIETE ANONYME et al.</b>		

1. This International preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 6 sheets, including this cover sheet.

This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I  Basis of the report
- II  Priority
- III  Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV  Lack of unity of invention
- V  Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI  Certain documents cited
- VII  Certain defects in the international application
- VIII  Certain observations on the international application

Date of submission of the demand <b>21/09/1999</b>	Date of completion of this report <b>12.06.2000</b>
Name and mailing address of the International preliminary examining authority: European Patent Office D-80238 Munich Tel. +49 89 2399 - 0 Tx. 523356 epmu d Fax: +49 89 2399 - 4466	Authorized officer  <b>Haybach, R</b> Telephone No. +49 89 2399 6230

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

**I. Basis of the report**

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

**Description, pages:**

1-17 as originally filed

**Claims, No.:**

1-19 as originally filed

**Drawings, sheets:**

1-6 as originally filed

**2. The amendments have resulted in the cancellation of:**

- the description,      pages:  
 the claims,      Nos.:  
 the drawings,      sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

**4. Additional observations, if necessary:**

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

- the entire international application.  
 claims Nos. 18,19.

because:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

- the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (specify):
- the description, claims or drawings (*Indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):  
*see separate sheet*
- the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- no international search report has been established for the said claims Nos. .

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement:**

Novelty (N)	Yes: Claims
	No: Claims 1-17
Inventive step (IS)	Yes: Claims
	No: Claims 1-17
Industrial applicability (IA)	Yes: Claims 1-17
	No: Claims

**2. Citations and explanations**

*see separate sheet*

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

*see separate sheet*

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

**VIII Certain observations on the International application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

09/623641  
533 Rec'd PCT/PTO 06 SEP 2000

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/00461

1. The following documents cited in the international search report are mentioned in this report:

D1: WO 97 44943 A (TELECOM FINLAND OY) 27 November 1997

D2: EP-A-0 435 970 (PHILIPS NV) 3 July 1991

**Article 6, PCT**

2. The term "terminal" throughout the claims is vague and indefinite. Without any further definitions it implies a sort of computer terminal where a user can directly manipulate (e.g. edit) the audiovisual or multimedia data. For such an interpretation, the basis in the application as filed is missing.

According to the description a set top box for a TV is meant here.

Thus, a "terminal" is interpreted to be an apparatus including data processing possibilities.

3. An examination of independent claims 18, 19 is not possible since the claims do not define their scope in apparatus or method features but refer vaguely to the whole description.

4. The following expressions in the dependent claims are unclear:

- "priority data" (claim 6); the use of priority data requires that two or more users access the terminal at the same time. This is not defined in the claims.

- "data relating to the attributes of information" (claim 7); it remains fully obscure what is meant here.

For these reasons claims 1-19 do not meet the requirements following from Article 6 PCT.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/00461

**Article 33, PCT**

5. a. Document D1 (see pages 5 and 6) discloses a system for managing subscriber related services within a telecommunication network. In detail a Windows 95 PC (page 6 middle paragraph) is connected to an Internet server (page 5 1st paragraph: graphic presentations on a WWW page are multimedia data). The PC contains subscriber identification means and subscriber related data (e.g. which services are available for him) are stored (on the server) and the logged on subscriber can see and work with the multimedia content of the Internet. In addition Windows 95 itself has a user management function to store user defined desktop layouts, driver installations ... locally on the "terminal" (wherein the whole Windows 95 PC is regarded as terminal).
- b. Document D2 (see abstract and cols. 1-8) discloses a television system with several remote controls of different complexity. The TV set which contains a microprocessor and memory is interpreted as a "terminal" (in the general sense or the sense of the application) and processes audio visual or multimedia data. The television stores for different users preferences (channel rings, picture settings) and can identify different users by the remote controls (and switch setting of it) used (thus, the user preferences are stored in dependence upon the wireless connected device [remote control]).
- c. Therefore the subject matter of claims 1-17, as far as they can at present be understood, is known from D1 or D2. In this respect it is to be noted that the features of dependent claims 11-15 are considered to be implicitly disclosed by the Windows 95 PC of D1.

Consequently, claims 1-17 do not meet the requirements following from Article 33(2) PCT because they lack novelty.

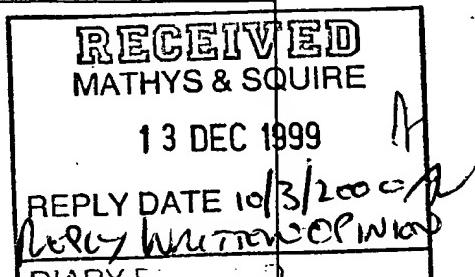
**Miscellaneous**

6. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 and D2 is not mentioned in the description, nor are these documents identified therein.

# PATENT COOPERATION TREATY

From the:  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:  
COZENS, Paul Denis  
MATHYS & SQUIRE  
100 Gray's Inn Road  
London WC1X 8AL  
GRANDE BRETAGNE



PCT

WRITTEN OPINION  
(PCT Rule 66)

Report of A 13/12/000		Date of mailing (day/month/year) <b>10.12.99</b>
Applicant's or agent's file reference PDC/AB/20485		<b>REPLY DUE</b> within 3 month(s) from the above date of mailing
International application No. PCT/IB99/00461	International filing date (day/month/year) 08/03/1999	Priority date (day/month/year) 06/03/1998
International Patent Classification (IPC) or both national classification and IPC H04N7/00		
Applicant CANAL+ SOCIETE ANONYME et al.		

1. This written opinion is the first drawn up by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I  Basis of the opinion
- II  Priority
- III  Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV  Lack of unity of invention
- V  Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI  Certain document cited
- VII  Certain defects in the international application
- VIII  Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

**When?** See the time limit indicated above. The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).

**How?** By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also:** For an additional opportunity to submit amendments, see Rule 66.4. For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 bis. For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 06/07/2000.

Name and mailing address of the international preliminary examining authority: European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer / Examiner Haybach, R Formalities officer (incl. extension of time limits) Eriksson, I Telephone No. +49 89 2399 2432
---	--



**I. Basis of the opinion**

1. This opinion has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".*):

**Description, pages:**

1-17                   as originally filed

**Claims, No.:**

1-19                   as originally filed

**Drawings, sheets:**

1-6                   as originally filed

2. The amendments have resulted in the cancellation of:

- the description,      pages:
- the claims,           Nos.:
- the drawings,        sheets:

3. This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been and will not be examined in respect of:

- the entire international application,
- claims Nos. 18,19,

because:

- the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

**see separate sheet**

- the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.
- no international search report has been established for the said claims Nos. .

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Claims	1-17 NO
Inventive step (IS)	Claims	
Industrial applicability (IA)	Claims	1-17 YES

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

1. The following documents cited in the international search report are mentioned in this written opinion:

D1: WO 97 44943 A (TELECOM FINLAND OY) 27 November 1997

D2: EP-A-0 435 370 (PHILIPS NV) 3 July 1991

Article 6, PCT

2. The term "terminal" throughout the claims is vague and indefinite. Without any further definitions it implies a sort of computer terminal where a user can directly manipulate (e.g. edit) the audiovisual or multimedia data. For such an interpretation, the basis in the application as filed is missing.

According to the description a set top box for a TV is meant here.

Thus, a "terminal" is interpreted to be an apparatus including data processing possibilities.

3. An examination of independent claims 18, 19 is not possible since the claims do not define their scope in apparatus or method features but refer vaguely to the whole description.
4. The following expressions in the dependent claims are unclear:

- "priority data" (claim 6); the use of priority data requires that two or more users access the terminal at the same time. This is not defined in the claims.

- "data relating to the attributes of information" (claim 7); it remains fully obscure what is meant here.

For these reasons claims 1-19 do not meet the requirements following from Article 6 PCT.

Article 33, PCT

5.
  - a. Document D1 (see pages 5 and 6) discloses a system for managing subscriber related services within a telecommunication network. In detail a Windows 95 PC (page 6 middle paragraph) is connected to an Internet server (page 5 1st paragraph: graphic presentations on a WWW page are multimedia data). The PC contains subscriber identification means and subscriber related data (e.g. which services are available for him) are stored (on the server) and the logged on subscriber can see and work with the multimedia content of the Internet. In addition Windows 95 itself has a user management function to store user defined desktop layouts, driver installations ... locally on the "terminal" (wherein the whole Windows 95 PC is regarded as terminal).
  - b. Document D2 (see abstract and cols. 1-6) discloses a television system with several remote controls of different complexity. The TV set which contains a microprocessor and memory is interpreted as a "terminal" (in the general sense or the sense of the application) and processes audio visual or multimedia data. The television stores for different users preferences (channel rings, picture settings) and can identify different users by the remote controls (and switch setting of it) used (thus, the user preferences are stored in dependence upon the wireless connected device [remote control]).
  - c. Therefore the subject matter of claims 1-17, as far as they can at present be understood, is known from D1 or D2. In this respect it is to be noted that the features of dependent claims 11-15 are considered to be implicitly disclosed by the Windows 95 PC of D1.

Consequently, claims 1-17 do not meet the requirements following from Article 33(2) PCT.

Miscellaneous

6. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 and D2 is not mentioned in the description, nor are these documents identified therein.



EPA/EPO/OEB  
D-80298 München  
+49 89 2399-0  
TX 523 656 epmu d  
FAX +49 89 2399-4465

Europäisches  
Patentamt

Generaldirektion 2

European  
Patent Office

Directorate General 2

Office européen  
des brevets

Direction Générale 2

## Correspondence with the EPO on PCT Chapter II demands

In order to ensure that your PCT Chapter II demand is dealt with as promptly as possible you are requested to use the enclosed self-adhesive labels with any correspondence relating to the demand sent to the Munich Office.

One of these labels should be affixed to a prominent place in the upper part of the letter or form etc. which you are filing.

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)Date of mailing (day/month/year)  
05 October 2000 (05.10.00)To:  
COZENS, Paul, Dennis  
Mathys & Squire  
100 Grays Inn Road  
London WC1X 8AL  
ROYAUME-UNI

RECEIVED

NOV 24 2000

Technology Center 2100

Applicant's or agent's file reference  
PDC/AB/20485

## IMPORTANT NOTIFICATION

International application No.  
PCT/IB99/00461International filing date (day/month/year)  
08 March 1999 (08.03.99)

## 1. The following indications appeared on record concerning:

the applicant     the inventor     the agent     the common representative

Name and Address  REY, François 10, avenue du Professeur-Calmette F-92130 Issy-les-Moulineaux France	State of Nationality FR	State of Residence FR
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:

the person     the name     the address     the nationality     the residence

Name and Address  REY, François 10, montée St. Jean F-73370 Le Bourget du Lac France	State of Nationality FR	State of Residence FR
	Telephone No.	
	Facsimile No.	
	Teleprinter No.	

## 3. Further observations, if necessary:

## 4. A copy of this notification has been sent to:

<input checked="" type="checkbox"/> the receiving Office	<input type="checkbox"/> the designated Offices concerned
<input type="checkbox"/> the International Searching Authority	<input checked="" type="checkbox"/> the elected Offices concerned
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No.: (41-22) 740.14.35	Authorized officer  Eugénia Santos  Telephone No.: (41-22) 338.83.38
---	--

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents  
 United States Patent and Trademark  
 Office  
 Box PCT  
 Washington, D.C.20231  
 ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 19 October 1999 (19.10.99)	
International application No. PCT/IB99/00461	Applicant's or agent's file reference PDC/AB/20485
International filing date (day/month/year) 08 March 1999 (08.03.99)	Priority date (day/month/year) 06 March 1998 (06.03.98)
<b>Applicant</b> REY, François	

1. The designated Office is hereby notified of its election made:

in the demand filed with the International Preliminary Examining Authority on:

21 September 1999 (21.09.99)

in a notice effecting later election filed with the International Bureau on:

\_\_\_\_\_

2. The election  was

was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Lazar Joseph Panakal
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

## PATENT COOPERATION TREATY

16

## PCT

16 JUN 2001

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

## (PCT Article 36 and Rule 70)

Applicant's or agent's file reference PDC/AB/20485	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. PCT/IB99/00461	International filing date (day/month/year) 08/03/1999	Priority date (day/month/year) 06/03/1998
International Patent Classification (IPC) or national classification and IPC H04N7/00		
<p>Applicant CANAL+ SOCIETE ANONYME et al.</p> <p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of 6 sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of sheets.</p> <p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input checked="" type="checkbox"/> Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input checked="" type="checkbox"/> Certain defects in the international application</li> <li>VIII <input checked="" type="checkbox"/> Certain observations on the international application</li> </ul>		

Date of submission of the demand 21/09/1999	Date of completion of this report 12.06.2000
Name and mailing address of the international preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465	Authorized officer Haybach, R Telephone No. +49 89 2399 8990



**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

**I. Basis of the report**

1. This report has been drawn on the basis of (*substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.*):

**Description, pages:**

1-17                   as originally filed

**Claims, No.:**

1-19                   as originally filed

**Drawings, sheets:**

1-6                   as originally filed

2. The amendments have resulted in the cancellation of:

the description,       pages:  
 the claims,              Nos.:  
 the drawings,           sheets:

3.  This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

4. Additional observations, if necessary:

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

The questions whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been examined in respect of:

the entire international application.  
 claims Nos. 18,19.

because:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

the said international application, or the said claims Nos. relate to the following subject matter which does not require an international preliminary examination (*specify*):

the description, claims or drawings (*indicate particular elements below*) or said claims Nos. are so unclear that no meaningful opinion could be formed (*specify*):

**see separate sheet**

the claims, or said claims Nos. are so inadequately supported by the description that no meaningful opinion could be formed.

no international search report has been established for the said claims Nos. .

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)                  Yes: Claims  
                                    No: Claims 1-17

Inventive step (IS)           Yes: Claims  
                                  No: Claims 1-17

Industrial applicability (IA)   Yes: Claims 1-17  
                                    No: Claims

**2. Citations and explanations**

**see separate sheet**

**VII. Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/IB99/00461

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/00461

1. The following documents cited in the international search report are mentioned in this report:

D1: WO 97 44943 A (TELECOM FINLAND OY) 27 November 1997  
D2: EP-A-0 435 370 (PHILIPS NV) 3 July 1991

Article 6, PCT

2. The term "terminal" throughout the claims is vague and indefinite. Without any further definitions it implies a sort of computer terminal where a user can directly manipulate (e.g. edit) the audiovisual or multimedia data. For such an interpretation, the basis in the application as filed is missing.

According to the description a set top box for a TV is meant here.

Thus, a "terminal" is interpreted to be an apparatus including data processing possibilities.

3. An examination of independent claims 18, 19 is not possible since the claims do not define their scope in apparatus or method features but refer vaguely to the whole description.
4. The following expressions in the dependent claims are unclear:
  - "priority data" (claim 6); the use of priority data requires that two or more users access the terminal at the same time. This is not defined in the claims.
  - "data relating to the attributes of information" (claim 7); it remains fully obscure what is meant here.

For these reasons claims 1-19 do not meet the requirements following from Article 6 PCT.

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

International application No. PCT/IB99/00461

**Article 33, PCT**

5. a. Document D1 (see pages 5 and 6) discloses a system for managing subscriber related services within a telecommunication network. In detail a Windows 95 PC (page 6 middle paragraph) is connected to an Internet server (page 5 1st paragraph: graphic presentations on a WWW page are multimedia data). The PC contains subscriber identification means and subscriber related data (e.g. which services are available for him) are stored (on the server) and the logged on subscriber can see and work with the multimedia content of the Internet. In addition Windows 95 itself has a user management function to store user defined desktop layouts, driver installations ... locally on the "terminal" (wherein the whole Windows 95 PC is regarded as terminal).
- b. Document D2 (see abstract and cols. 1-6) discloses a television system with several remote controls of different complexity. The TV set which contains a microprocessor and memory is interpreted as a "terminal" (in the general sense or the sense of the application) and processes audio visual or multimedia data. The television stores for different users preferences (channel rings, picture settings) and can identify different users by the remote controls (and switch setting of it) used (thus, the user preferences are stored in dependence upon the wireless connected device [remote control]).
- c. Therefore the subject matter of claims 1-17, as far as they can at present be understood, is known from D1 or D2. In this respect it is to be noted that the features of dependent claims 11-15 are considered to be implicitly disclosed by the Windows 95 PC of D1.

Consequently, claims 1-17 do not meet the requirements following from Article 33(2) PCT because they lack novelty.

**Miscellaneous**

6. Contrary to the requirements of Rule 5.1(a)(ii) PCT, the relevant background art disclosed in the documents D1 and D2 is not mentioned in the description, nor are these documents identified therein.

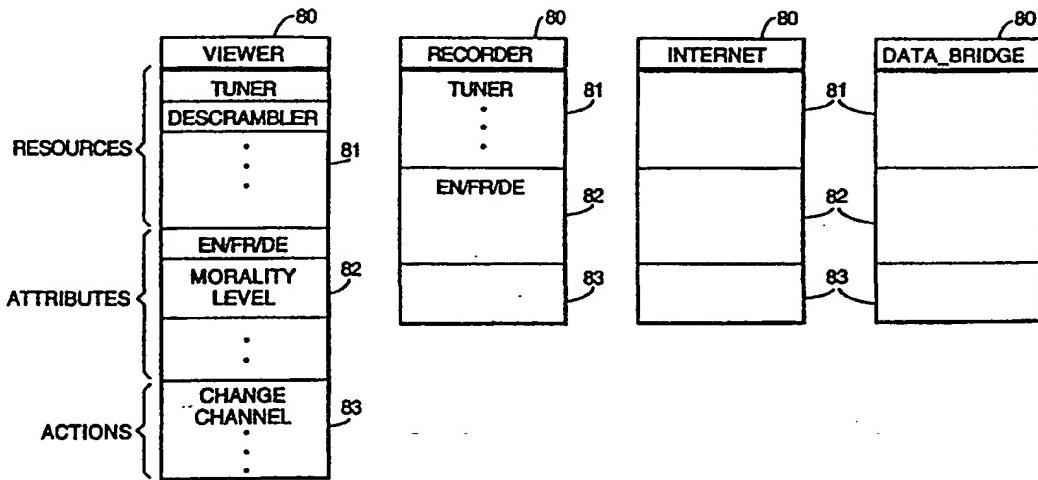


20485

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H04N 7/00, 5/44, 7/173, G06F 13/00</b>	A1	(11) International Publication Number: <b>WO 99/45709</b> (43) International Publication Date: 10 September 1999 (10.09.99)
<p>(21) International Application Number: <b>PCT/IB99/00461</b></p> <p>(22) International Filing Date: 8 March 1999 (08.03.99)</p> <p>(30) Priority Data: 98400541.3 6 March 1998 (06.03.98) EP</p> <p>(71) Applicant (<i>for all designated States except US</i>): CANAL+ SOCIETE ANONYME [FR/FR]; 85/89, quai André Citroën, F-75711 Paris Cedex 15 (FR).</p> <p>(72) Inventor; and</p> <p>(75) Inventor/Applicant (<i>for US only</i>): REY, François [FR/FR]; 10, avenue du Professeur-Calmette, F-92130 Issy-les-Moulineaux (FR).</p> <p>(74) Agents: COZENS, Paul, Dennis et al.; Mathys &amp; Squire, 100 Grays Inn Road, London WC1X 8AL (GB).</p>		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
<p>Published <i>With international search report.</i></p>		

## (54) Title: MULTIMEDIA TERMINAL ADAPTED FOR MULTIPLE USERS



## (57) Abstract

A terminal for processing digital audio-visual or multimedia data including a data processing system and a memory, the data processing system storing user profile data (81, 82, 83) relating to the characteristics or preferences of multiple users (80) of the terminal. In a particularly preferred embodiment, the user profiles correspond to modes of operation of the terminal, the user profile data including priority data indicating the priority rights of each user to terminal resources.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

MULTIMEDIA TERMINAL ADAPTED FOR MULTIPLE USERS

The present invention relates to a terminal for processing digital audio-visual or multimedia data.

- 5 Terminals of this kind are well-known in the field of pay TV systems, where a decoder or set-top-box receives broadcast digital multimedia data, including audiovisual program information, as well as data for generating on-screen menus, data for implementing gaming or shopping applications etc. Depending on the system, the data may be broadcast in scrambled or clear form.
- 10 Prior to the introduction of digital technology, decoders were connected to a limited number of devices, usually only an associated television display or, at maximum, a television display and a VHS recorder. The advent of digital technology has led to an explosion in the number of devices that may be connected to the decoder as well as the functionalities of the decoder. For example, in addition to a Peritel analogue output to a TV and VHS device, the decoder may also include a connection via a 15 digital bus, such as the IEEE 1394 bus, to other digital devices such as a DVD recorder, a PC etc.

- As a complement to the increase in the number of external devices that may be connected to the decoder, there has been an increase in the number of modes of operation of a device. For example, in the standard set up of decoder and television, the decoder may be used either to simply supply 20 television broadcast information, or to provide a connection to the internet.

- In evolving away from the traditional analogue system, the architecture of presently known digital decoders has nevertheless tended to follow the preconceptions guiding their design. In particular, the architecture of standard decoders does not accurately reflect the role of a terminal in routing data 25 between many external devices in parallel, the modes of operation of the decoder and the number of users of the system that may exist.

According to the present invention, there is provided a terminal for processing digital audio-visual or multimedia data including a data processing system and a memory, characterised in that the data

processing system stores in the memory user profile data relating to the characteristics or preferences of a plurality of types of user of the terminal.

The definition of a user profile enables the processing system to flexibly treat and handle a number of 5 users of the terminal. As will be appreciated, whilst a user profile may be associated with the connection of an external device or the personal identity of the operator accessing the terminal it is preferably associated with a mode of operation, for example, internet mode or television mode.

A user profile may also further be personalised for one or more operators. For example, after defining 10 a user profile for the internet mode of operation of the terminal, it may be possible to define a first internet operator having certain rights and a second operator having other rights.

Advantageously, the user profile data includes resource data indicating the resources within the terminal accessible by each user. In the case of a decoder terminal, these resources may include 15 rights of access to the demultiplexer to determine the data downloaded from the broadcast data stream etc.

Further advantageously, the user profile data includes priority data indicating the priority of each user in respect of access to one or more resources of the terminal. For example, for a decoder terminal, 20 the user profile data may include a priority level indicating the priority of a particular user in accessing the demultiplexer. Conflicting channel demands between, for example, a TV device user and a recorder device user can thereafter be resolved by a management application based on this information.

25 In addition to resource data indicating the terminal resources available to a given device, the user profile data may further comprise data relating to the attributes of information to be supplied to each user. These attributes may include, for example, an indication of the language to be used in all graphical interface displays for that user.

In addition, the user profile data may further include data relating to the actions permitted by each user, such as whether a given user may change the demux channel etc. Although closely related to the resource data described above, this data may be used to define the parameters of the operations permitted by each device having access to a given resource.

5

Preferably, some or all of the characteristics or preferences of the user profile data may be modified during normal operation of the terminal by an operator. For example, the relative priority values of each user in accessing data may be modified by a viewer to give a VHS recorder output priority over a television output, or an internet connection priority over the television etc. In addition, or alternatively, 10 some or all of the user profile data may be predetermined by the data processing system of the terminal.

15 The present invention is particularly adaptable to a terminal comprising a data processing system comprising, inter alia, a virtual machine and an object oriented application interface layer comprising a plurality of class libraries.

20 In particular, the application interface layer may comprise one or more class libraries defining the operation of the virtual machine with respect to user profile data. These classes may include, for example, a class library dedicated to management of user profile data in the memory cache of the terminal. Equally, the classes may include one or more user profile class libraries to define the characteristics of the data to be stored in the user profiles. For example, a method class may be used 25 to define the attributes of the preferred language to be stored in the user profile.

The behaviour of classes within the application interface layer will depend on the language chosen. In 25 the case of an application interface written in Java, for example, a single inheritance structure may apply between a class and its subclasses.

30 In one embodiment, the user profile classes may include a generic class library associated with the definition of generic characteristics of user profile data, and one or more sub-class libraries associated with the definitions of characteristics associated with a specific user profile.

The present invention is particularly applicable to a terminal in the form of a decoder adapted to receive data transmissions in a digital transmission system.

- 5 The present invention may equally extend to a method of operation of a terminal.

The term "decoder" may describe a receiver for receiving either encoded or non-encoded signals, for example, television and/or radio signals. Embodiments of such a decoder may include a decoder integral with the receiver for decoding the received signals, for example, in a "set-top box", such a decoder 10 functioning in combination with a physically separate receiver, or a decoder integrated with additional elements, such as a web browser or a video recorder or a television.

As used herein, the term "digital transmission system" includes any transmission system for transmitting or broadcasting for example primarily audiovisual or multimedia digital data. Whilst the present invention 15 is particularly applicable to a broadcast digital television system, the invention may also be applicable to a fixed telecommunications network for multimedia internet applications, to a closed circuit television, and so on.

There will now be described, by way of example only, a preferred embodiment of the invention in 20 which:

Figure 1 shows a digital television system including a multimedia terminal in the form of a decoder;

Figure 2 shows the physical elements of the decoder of Figure 1;

25 Figure 3 shows the software architecture of the data processing system within the decoder;

Figure 4 shows the structure of the virtual machine used in the data processing system of Figure 3;

30 Figure 5 shows a set of predetermined user profiles to be defined in this embodiment of the invention;

Figure 6 shows the elements of user profile data stored in the decoder memory for each of the user profiles of Figure 5; and

- 5 Figure 7 shows the structure of class libraries within the application interface layer of the software architecture to be used in the definition of the user profiles.

An overview of a digital television system 1 according to the present invention is shown in Figure 1. The invention includes a mostly conventional digital television system 2 that uses the known MPEG-2 compression system to transmit compressed digital signals. In more detail, MPEG-2 compressor 3 in a broadcast centre receives a digital signal stream (typically a stream of video signals). The compressor 3 is connected to a multiplexer and scrambler 4 by linkage 5.

The multiplexer 4 receives a plurality of further input signals, assembles the transport stream and transmits compressed digital signals to a transmitter 6 of the broadcast centre via linkage 7, which can of course take a wide variety of forms including telecommunications links. The transmitter 6 transmits electromagnetic signals via uplink 8 towards a satellite transponder 9, where they are electronically processed and broadcast via notional downlink 10 to earth receiver 12, conventionally in the form of a dish owned or rented by the end user. The signals received by receiver 12 are transmitted to an integrated receiver/decoder 13 owned or rented by the end user and connected to the end user's television set 14. The receiver/decoder 13 decodes the compressed MPEG-2 signal into a television signal for the television set 14.

Other transport channels for transmission of the data are of course possible, such as terrestrial broadcast, cable transmission, combined satellite/cable links, telephone networks etc.

In a multichannel system, the multiplexer 4 handles audio and video information received from a number of parallel sources and interacts with the transmitter 6 to broadcast the information along a corresponding number of channels. In addition to audiovisual information, messages or applications

or any other sort of digital data may be introduced in some or all of these channels interlaced with the transmitted digital audio and video information.

A conditional access system 15 is connected to the multiplexer 4 and the receiver/decoder 13, and is  
5 located partly in the broadcast centre and partly in the decoder. It enables the end user to access digital television broadcasts from one or more broadcast suppliers. A smartcard, capable of deciphering messages relating to commercial offers (that is, one or several television programmes sold by the broadcast supplier), can be inserted into the receiver/decoder 13. Using the decoder 13 and smartcard, the end user may purchase commercial offers in either a subscription mode or a  
10 pay-per-view mode. In practice, the decoder may be configured to handle multiple access control systems, e.g. of the Simulcrypt or Multicrypt design.

As mentioned above, programmes transmitted by the system are scrambled at the multiplexer 4, the conditions and encryption keys applied to a given transmission being determined by the access  
15 control system 15. Transmission of scrambled data in this way is well known in the field of pay TV systems. Typically, scrambled data is transmitted together with a control word for descrambling of the data, the control word itself being encrypted by a so-called exploitation key and transmitted in encrypted form.

20 The scrambled data and encrypted control word are then received by the decoder 13 having access to an equivalent of the exploitation key stored on a smart card inserted in the decoder to decrypt the encrypted control word and thereafter descramble the transmitted data. A paid-up subscriber will receive, for example, in a broadcast monthly ECM (Entitlement Control Message) the exploitation key necessary to decrypt the encrypted control word so as to permit viewing of the transmission.

25

An interactive system 16, also connected to the multiplexer 4 and the receiver/decoder 13 and again located partly in the broadcast centre and partly in the decoder, enables the end user to interact with various applications via a modem back channel 17. The modem back channel may also be used for communications used in the conditional access system 15. An interactive system may be used, for

example, to enable the viewer to communicate immediately with the transmission centre to demand authorisation to watch a particular event, download an application etc.

Referring to Figure 2, the physical elements of the receiver/decoder 13 or set-top box adapted to be used in the present invention will now be described. The elements shown in this figure will be described in terms of functional blocks.

The decoder 13 comprises a central processor 20 including associated memory elements and adapted to receive input data from a serial interface 21, a parallel interface 22, and a modem 23 (connected to the modem back channel 17 of Fig 1).

The decoder is additionally adapted to receive inputs from an infra-red remote control 25 via a control unit 26 and from switch contacts 24 on the front panel of the decoder. The decoder also possesses two smartcard readers 27, 28 adapted to read bank or subscription smartcards 29, 30 respectively. Input may also be received via an infra-red keyboard (not shown). The subscription smartcard reader 28 engages with an inserted subscription card 30 and with a conditional access unit 29 to supply the necessary control word to a demultiplexer/descrambler 30 to enable the encrypted broadcast signal to be descrambled. The decoder also includes a conventional tuner 31 and demodulator 32 to receive and demodulate the satellite transmission before being filtered and demultiplexed by the unit 30.

20

Processing of data within the decoder is generally handled by the central processor 20. The software architecture of the central processor corresponds to a virtual machine interacting with a lower level operating system implemented in the hardware components of the decoder.

25 DECODER SYSTEM ARCHITECTURE

Turning now to the architecture of the system within the receiver/decoder shown in Figure 3, it will be seen that a layered architecture is used. The first layer 41 represents the operating system of the hardware of the receiver/decoder. This is a real-time operating system chosen by the manufacturer to control the hardware elements of the receiver/decoder. The real-time operating system has a relatively fast response

time in order to be able to correctly synchronise hardware operations. Event messages are passed between this layer and the middleware layer 42 immediately above.

The data processing system sits on top of the hardware operating system and comprises a middleware 5 layer 42 and an application interface layer 43.

The middleware layer 42 is written in a language such as C ANSI and comprises the elements of a virtual machine 44 and a number of interfaces 45 including a graphical interface 46, a FLASH/PROM memory interface 47, a protocol interface 48 and a device interface 49.

10 The present invention uses a virtual machine in order to provide independence between upper level applications and the lower level operating system implemented by the set top box manufacturer. The interfaces 45 provide the link between operations of the virtual machine and the lower level operating system 41 and also include a number of intermediate level application modules more easily executed at 15 this level.

20 The application interface (API) layer 43 comprises a number of high level packages 50-55, written in an object-oriented interpretative language, such as Java. These packages provide an interface between the applications created by the service provider (interactive program guide, teleshopping, internet browser etc) and the virtual machine of the system. Examples of such applications are given below.

25 The lower level OS is normally embedded in the hardware components of the decoder, although in some realisations, the lower level OS can be downloaded. The middleware and application interface layer packages can be downloaded into the RAM or FLASH memory of the decoder from a broadcast transmission. Alternatively, some or all of the middleware or application interface layer elements can be stored in the ROM or (if present) FLASH memory of the decoder. The decoder may even include a hard disc or DVD drive for memory storage purposes. As will be understood, the physical organisation of the memory elements of the decoder is distinct from the logical organisation of the memory.

APPLICATION INTERFACE LAYER

Referring to the application interface layer 43 shown in Figure 3, and as described above, the packages in this layer are written with an object oriented language such as Java. Each package defines a set of class 5 libraries called on during operation of the system. In the present system the following packages are installed.

Lang/util Package 50. This package defines the classes necessary for the manipulation of objects by the virtual machine. These class libraries normally form part of a standard library associated with the object 10 oriented language chosen.

MHEG-5 Package 51. This package defines the classes associated with the manipulation of graphical objects on the television display. Such objects are distinct from audio-visual data and can make up, for example, channel identifiers or text laid over displayed images. The definition of classes within this 15 package should respect the MHEG-5 norms defined by the standards ETS 300777-3 and ISO/IEC 13522-5 (and the standard ISO/IEC 13522-6 in the case of a Java implemented system).

Toolbox Package 52. This package contains the classes used for downloading and decompression of information as well as the classes associated with the management of the file system and memory within 20 the receiver/decoder and the classes associated with the connection to the internet etc.

Device Package 53. This package defines the classes necessary for management of peripherals attached to the receiver/decoder, as discussed above and including the modem, the smart card readers, the MPEG flow tuner etc.

25

Service Package 54. This package defines the classes necessary for the implementation of developing higher level interactive applications, such as management of credit card data etc.

DSMCC-UU Package 55. This package implements the protocols necessary for communication between a client and a server for data file search and reading. Implementation of this package should respect the norm ISO/IEC 13818-6 and directives defined in DAVIC part 9.

- 5 In normal operation, a further layer of interactive applications, written by the service provider and downloaded during broadcast as in conventional systems, will be laid over the interface packages defined above. These applications typically include a general application manager for managing the defined basic operations of the decoder, and one or more optional applications adding additional services. In particular, a user manager application may be used to manage user priority conflicts, as will be described below.

10

Depending on the applications to be introduced, some of the above packages may be omitted. For example, if the service provider does not intend to provide a common way for data reading, the DSMCC-UU package may be left out of the final system.

- 15 The packages 43 provide class libraries for an object-oriented programming environment. Their class behaviour will depend on the language chosen. In the case of a Java application, for example, a single inheritance class structure will be adhered to.

As will be understood, the grouping of a class or a set of classes in a package is a matter of formalism  
20 with respect to the functionality of a class. Certain classes related to management of peripheral devices may be, for example, classified either as belonging to the Device Package 53 or the Service Package 54.

#### INTERFACE LAYER

- 25 As shown, the interface layer is composed of four modules, a graphics module 46, a memory file management module 46, a protocol module 48 and a device manager 49. Whilst the modules at this level are described as interface modules their function is to provide a "glue" layer for the implementation of the application interface packages and for the operation of the virtual machine generally.

The graphics module 46, for example, provides the creation and management of graphical objects. It asks the low level OS to display basic graphic shapes such as single pixels, lines, rectangles etc. The implementation of this module depends on the graphics capability of the low level manufacturer's OS. In some ways complementary to the MHEG-5 package 51, these functions may be more efficiently executed  
5 at this code level than in the high level code chosen for the application layer above.

In a similar manner, the memory file management module 47 includes low level read/write file commands associated with the memory components of the system. Typically, the hardware operating system only includes commands necessary to read/write a sector or page within a memory component. As with the  
10 graphics module 46, this module enables a set of simpler lower level applications to be efficiently introduced in the system.

The protocol management module 48 defines a library of communication protocols that may be called upon in communications via, for example, the TCP/IP layer of the decoder.

15 The device manager 49 is slightly different from the other modules in this layer in that it provides the link or interface between the hardware operating system and the layers above, including the other modules in the interface layer and the virtual machine. Commands or event messages that are received/sent to the hardware OS from the virtual machine, for example, are necessarily passed by the device manager for  
20 conversion according to the interface specifications between the two levels.

#### VIRTUAL MACHINE DESCRIPTION

Referring now to Figure 4, the structure of the virtual machine 44 used in the system of the present  
25 invention will be described. The virtual machine used in the present invention is a pre-emptive multithread type machine. The general characteristics of such a machine are known in other contexts and the creation of code for implementation of such a machine will be within the scope of one skilled in the art.

The virtual machine is composed of a number of elements, which interact broadly as shown in Figure 4.  
30 The scheduler 60, composed of a thread manager service 61 and a monitor manager service 62, forms

the heart of the multithread machine. The scheduler 60 orders the execution of threads created by applications externally of the virtual machine and those created by the virtual machine itself (e.g. a garbage collection thread as discussed below).

- 5     The event manager 63 handles an event routing table and the lists of events subscribed to by the threads and centralises the dispatch of event treatments.

The memory manager 64 handles the allocation and disallocation of the memory zones within the system memory and also handles the removal from the memory of non-referenced objects (garbage collection).

- 10    The class manager 65 charges the classes of the application code downloaded in a broadcast signal, interacting with the security manager 66 to check the integrity of downloaded code and with the file manager 68, which implements the applications.

- 15    The file manager 68 carries out the implementation of the system files and the handles the mechanism of downloading of interactive applications and data.

The security manager 66 handles the level of access permitted to downloaded applications, some applications having the ability to carry out more operations than others in relation to the file system.

- 20    The interpreter 67 comprising a bytecode interpretation service 69 and a "m-code" interpretation service 70 handles the interpretation of applications written in these two codes, bytecode being associated with Java applications and m-code being the name given to a proprietary code developed by the applicants.

25    USER PROFILES

The increasing hardware processing power available in decoders has led to the increasing use of decoders in the routing of information between a number of potential users of the system. For example, a single IRD can serve as the input point for a broadcast MPEG stream, this stream being processed and

diverted to one or more connected television displays, an analogue VHS recorder connected via a Peritel link, a PC or DVD device connected via an IEEE 1394 bus etc.

An idea central to the present embodiment is the definition of a number of "users" of the decoder, each 5 user having a certain characteristic profile. For example, a high level application may define a number of user profiles for a television viewer, a VHS recorder, a person directly using the decoder to access to the internet, a person using the decoder to route information to a PC etc. Figure 5 shows an example of a set of typical user profiles. This list may be expanded to include, for example, a DVD device connected to the decoder etc.

10 A user profile may be defined in relation to an external device connected to terminal, for example the connection television, where the terminal simply supplies audiovisual data to the television display. The user profile may also be defined in relation to the actual identity of one or more physical persons or "operators" accessing the terminal.

15 In the present case, however, a user profile is defined in relation to a mode of operation of the device, such as its operation in an internet mode. Each user profile defined for one set up or mode of operation may be personalised for the different persons using the decoder terminal. For example, one person may have different viewing preferences from another, or may be prohibited from watching 20 certain channels. The information regarding the preferences of each person is stored within the user profile for that mode of operation.

Each user profile will have a unique and characteristic user ID and one or more priority values determining the priority of this user in obtaining one or more decoder resources. In this case, the term "resources" 25 refers to a functionality of the decoder such as access to the demultiplexer to download selected data. The high level application manager defines and stores the characteristics of these profiles and handles the sharing of resources and user conflicts with reference to the user priority.

For example, a user manager may give priority to a user "RECODER" for example, such that a demand 30 by this user to use a given resource will take priority over a demand by the user "VIEWER" to use that

resource. In particular, the user "RECORDER" may have preference over the user "VIEWER" as far as choice of demux channel is concerned. In this way, the application prevents a change channel signal received from a viewer from taking priority over the channel chosen by someone wishing to record a program being transmitted at the same time.

5

In this example, where a single priority value is assigned to each user, the user "RECORDER" will always take priority over "VIEWER" for access to any resource. Alternatively, multiple priority values may be assigned, such that "VIEWER" has priority for certain resources, "RECORDER" priority for others etc.

- 10 The priority evaluation is handled by the user manager and may be interactive, i.e. an operator may determine by programming the decoder with the handset whether to give priority to an internet connection over television viewing etc.

- 15 Each user profile includes, in addition to the user ID value, a set of preferences stored in a cache memory in the decoder, for example, in the FLASH memory of the decoder. These preferences will be called up by the application upon at each booting up of the decoder. As shown in Figure 6, user profile data 80 includes resource data 81, attribute data 82, and action data 83.

- 20 The resource data 81 includes a list of internal decoder resources that may be accessed by the user, for example, access to the MPEG tuner and descrambler. As will be understood, a resource in this context refers to a logical resource relating to a combination of physical elements associated with a demux process, a conditional access system etc.

- 25 Attribute data 82 includes preferred attributes specific to that user, for example, the language (English, French, German etc.) that will be preferentially used in written displays on the screen, the morality level of programmes that can be viewed by the user etc. The action data 83 includes a list of permitted actions that may be carried out by that user, including change channel etc.

- 30 The user profile data can include fixed values predetermined by the user manager (for example, all users are capable of accessing the resources of the tuner, the demultiplexer etc.) as well as values modifiable

by and personalised to each operator capable of using the terminal in each operating mode (morality level of programmes that may be watched etc.).

- Values modifiable by an operator can include values for each user profile set by an operator at the  
5 moment of start-up of the decoder as well values set by an operator each time a session is started with a particular user profile.

The definition of multiple user profiles corresponding to modes of operation and including data regarding priority to terminal resources for each profile paves the way for parallel processing of such modes by the  
10 terminal so as to permit, for example, a single terminal to handle and process data for viewing via a television at the same time as forwarding different data to be recorded by an associated recording device, treated by a PC etc. In such systems the terminal becomes effectively a data hub for a number of associated peripheral devices operating in parallel. This sort of operation is particularly well managed by a multithread system of the kind shown in relation to Figures 3 and 4, and as will now be described.

- 15 In order to permit the creation of a series of user profiles, it is desirable to include within the API layer object classes adapted to engage with the virtual machine to achieve this. Referring back to Figure 3, and as discussed above, the class libraries defined in the API layer 43 supply the parameters of operation within which the higher level applications may operate. In particular, in carrying out certain actions, a high  
20 level application will include instructions referring to classes of objects defined in this layer.

Each class will respect the rules of the object oriented programming language chosen for this layer. Typical object classes include classes relating to the management of the ports of the decoder, such as the credit card interface, as well as other operations such as management of the access control system. A  
25 number of standard classes in the API layer have been defined by the DAVIC group in relation to, for example, access to sections and tables in a downloaded MPEG stream.

There will now be described, with reference to Figure 7, a structure of classes adapted to provide the possibility of defining user preferences for each such user and to facilitate the handling of multiple users

by a high level application. The classes that will be described may be included, for example, in the Service Package 54 installed in the API layer 43.

Referring to Figure 7, a class UserCacheManager shown at 90 is used to permit applications to access and manage user profile data stored in the memory cache of the system. This class is a static class. As with standard object oriented program architectures, the class library includes a list of methods or commands such as a method initialise() to initialise the memory cache, a method getMaxUserProfiles() to know the maximum number of users to be supported by the system, a method getActiveUserID() to know the number of users currently active etc. The class may also be associated with a list of events, signalling to the application the occurrence of an event, such as the creation or deletion of a user profile.

The classes additionally include a class UserProfile shown at 91. This class is a generic class, adapted to permit the creation of a number of user profiles. The class includes a list of methods such as getUserId() to recover the identity of a user, getPriorityLevel() to recover the priority of access to resources, setGeneralAttribute() to set the value of a general attribute etc. The class is also associated with a list of events indicating, for example, a change of channel demanded by a user etc.

These methods are methods which permit an indirect access to methods, thereby avoiding the necessity of having a method for each attribute. The number of attributes managed by these methods will depend on the choice of the system architect and may evolve with time.

In practice, the choice and functionality of the number of methods in this and the other classes may also be determined at the discretion of the system architect and in dependence on the processing power of the hardware, the characteristics of the virtual machine, the number of functionalities the system architect wishes to introduce etc.

As will be described, some of the methods may be inherited by other classes in accordance with the principles of the object oriented language chosen for the application interface layer.

In particular, the classes ViewerProfile 92, RecorderProfile 93, InternetProfile 94, DataBridgeProfile 95, define methods specific to the definition of the user profile VIEWER, RECORDER, INTERNET, DATA\_BRIDGE etc. The classes 92 to 95 may include methods inherited from the generic UserProfile class 91. For example, using the command setGeneralAttribute (Attribute, Value of Attribute), the 5 preferred value of an attribute associated with the user profile in question may be determined.

Taking the case of a viewer profile in which the morality level of a viewer is to be defined, the instruction

setGeneralAttribute (Morality-Level, 18)

10 in the context of programming the profiles for the user VIEWER will set an authorised age limit for this user. This value will be defined and called up by a higher level application and can be used to prevent access by the user VIEWER to certain demux channels, unless the operator declares his age. For each person having access to the decoder in the mode VIEWER, a set of preferences may thus be defined by 15 instances within the class VIEWER.

As will be understood, the definition in the API of a number of classes specific to the creation of an identified "user" enables the system to easily define a plurality of user profiles for each of these users. The provision of a class UserCacheManager permits the handling of cached profile data relating to a user, 20 whilst the generic classes UserProfile and the sub-classes ViewerProfile, RecorderProfile etc. provide the tools necessary for the definition of each user profile.

The exact composition and definition of the methods and events within these classes is however discretionary and it will be within the competence of one skilled in the art to determine the best definition of 25 such objects in dependence on the characteristics of the chosen virtual machine etc.

CLAIMS

1. A terminal for processing digital audio-visual or multimedia data including a data processing system and a memory, characterised in that the data processing system stores in the memory user profile data relating to the characteristics or preferences of a plurality of types of user of the terminal.
- 5
2. A terminal as claimed in claim 1, where the user profile is defined in relation to a mode of operation of the terminal.
- 10
3. A terminal as claimed in claim 1 or 2, where the user profile is defined in relation to the connection of an external device.
4. A terminal as claimed in any preceding claim, where a user profile is personalised in relation to the identity of the operator.
- 15
5. A terminal as claimed in any preceding claim, where the user profile data includes resource data indicating the resources within the terminal accessible by each user.
6. A terminal as claimed in claim 5 where the user profile data includes priority data indicating the priority of each user in respect of access to one or more resources of the terminal.
- 20
7. A terminal as claimed in any preceding claim where the user profile data comprises data relating to the attributes of information to be supplied to each user.
- 25
8. A terminal as claimed in any preceding claim where the user profile data comprises data relating to the actions permitted by each user.
9. A terminal as claimed in any preceding claim where some or all of the characteristics or preferences of the user profile data are modifiable during normal operation of the terminal by an operator.

10. A terminal as claimed in any preceding claim where some or all of the user profile data is predetermined by the data processing system of the terminal.
11. A terminal as claimed in any preceding claim where the terminal comprises a data processing system  
5 comprising, inter alia, a virtual machine and an object oriented application interface layer comprising a plurality of class libraries.
12. A terminal as claimed in claim 11, in which the application interface layer may comprise one or more class libraries defining the operation of the virtual machine with respect to user profile data.  
10
13. A terminal as claimed in claim 11 or 12, in which the application interface layer comprises a class library dedicated to memory management of user profile data in the memory cache of the terminal.
14. A terminal as claimed in any of claims 11 to 13 in which the application interface layer comprises one  
15 or more user profile class libraries adapted to define the characteristics of the data to be stored in the user profiles.
15. A terminal as claimed in claim 14, in which the user profile class libraries comprise a generic class library associated with the definition of generic characteristics of user profile data, and one or more sub-class libraries associated with the definitions of characteristics associated with a specific user profile.  
20
16. A terminal as claimed in any preceding claim comprising a decoder adapted to receive data transmissions in a digital transmission system.
- 25 17. A method of operation of a terminal for processing digital audio-visual or multimedia data including a data processing system and a cache memory characterised by the step of storing in the terminal memory user profile relating to the characteristics or preferences of plurality of users of the terminal.

18. A terminal for processing digital audio-visual or multimedia data substantially as herein described.

19. A method of operation of a terminal for processing digital audio-visual or multimedia data substantially as herein described.

# INTERNATIONAL SEARCH REPORT

Internat Application No  
PCT/IB 99/00461

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 6	H04N7/00	H04N5/44	H04N7/173	G06F13/00
-------	----------	----------	-----------	-----------

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H04N G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category <sup>a</sup>	Citation of document, with indication, where appropriate, of the relevant passages'	Relevant to claim No.
X	EP 0 306 702 A (HONEYWELL BULL) 15 March 1989	1-5, 7-10, 16, 17
Y	see column 1, line 30 - line 35; figure 1 see column 7, line 46 - column 8, line 24 see column 9, line 25 - line 30 ---	6, 11
X	EP 0 435 370 A (PHILIPS NV) 3 July 1991 see column 1, line 10 - line 29 see column 6, line 33 - line 39 ---	1, 2, 17
X	WO 97 44943 A (TELECOM FINLAND OY) 27 November 1997 see page 5, line 29 - page 6, line 14 ---	1
Y	EP 0 059 293 A (IBM) 8 September 1982 see abstract ---	6
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

<sup>a</sup> Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

31 May 1999

07/06/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.  
Fax: (+31-70) 340-3016

Authorized officer

Raeymakers, P

# INTERNATIONAL SEARCH REPORT

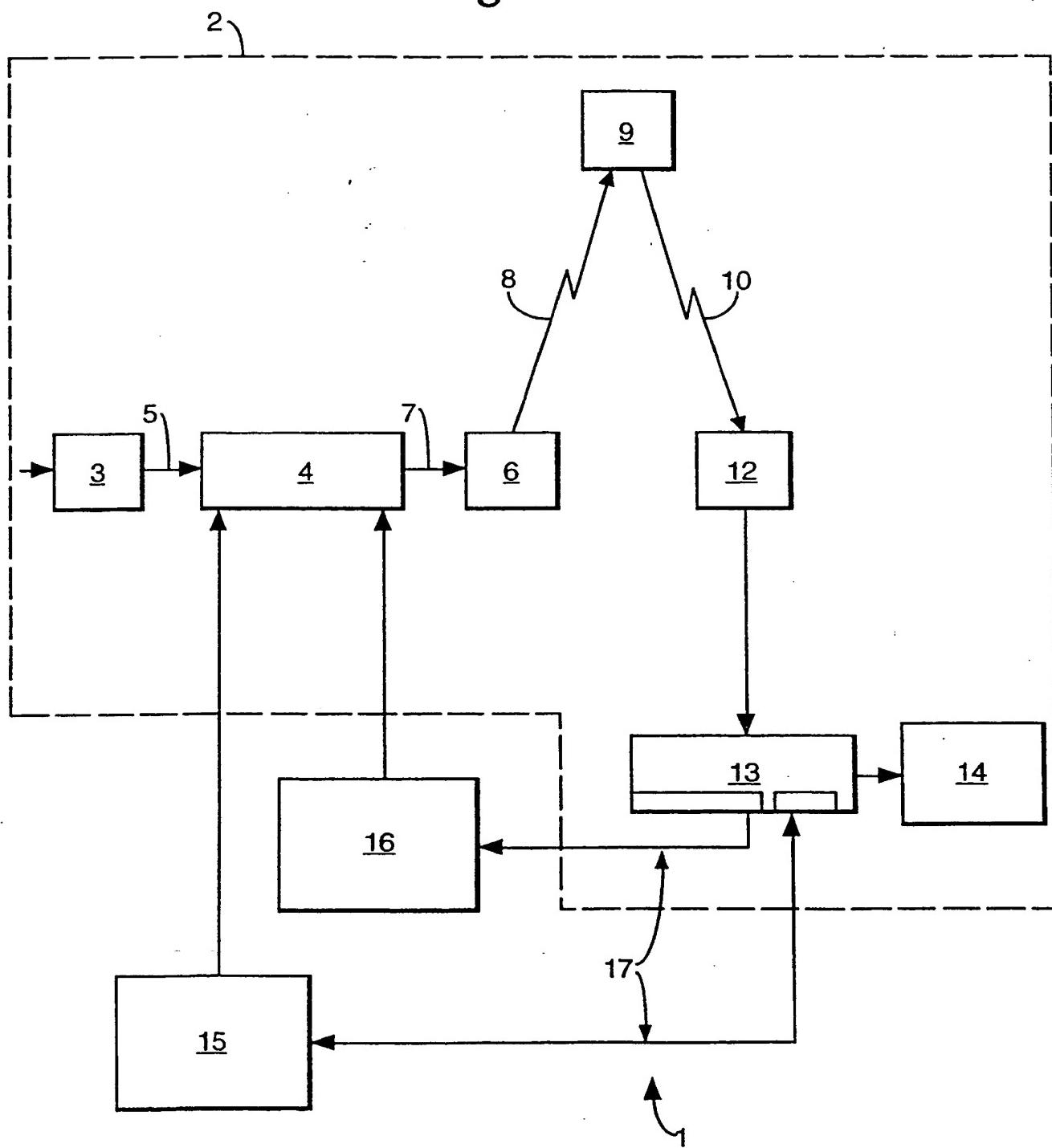
Information on patent family members

Internat ional Application No

PCT/IB 99/00461

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
EP 0306702	A 15-03-1989	US 4858117 A		15-08-1989
		AU 611468 B		13-06-1991
		AU 2052288 A		09-02-1989
		CA 1315007 A		23-03-1993
		CN 1033119 A,B		24-05-1989
		DE 3889816 D		07-07-1994
		DE 3889816 T		19-01-1995
		DK 439088 A		08-02-1989
		ES 2053640 T		01-08-1994
		FI 883566 A		08-02-1989
		JP 1099147 A		18-04-1989
		JP 1865197 C		26-08-1994
		KR 9403325 B		20-04-1994
		MX 166611 B		21-01-1993
		NO 174528 B		07-02-1994
		YU 151988 A		31-08-1991
EP 0435370	A 03-07-1991	US 5005084 A		02-04-1991
		DE 69007600 D		28-04-1994
		DE 69007600 T		22-09-1994
		JP 5014757 A		22-01-1993
WO 9744943	A 27-11-1997	FI 964200 A		22-11-1997
		AU 2900297 A		09-12-1997
		EP 0895687 A		10-02-1997
EP 0059293	A 08-09-1982	BR 8200851 A		28-12-1982
		CA 1172381 A		07-08-1984
		JP 57150044 A		16-09-1982
WO 9741541	A 06-11-1997	AU 2811897 A		19-11-1997

Fig.1.



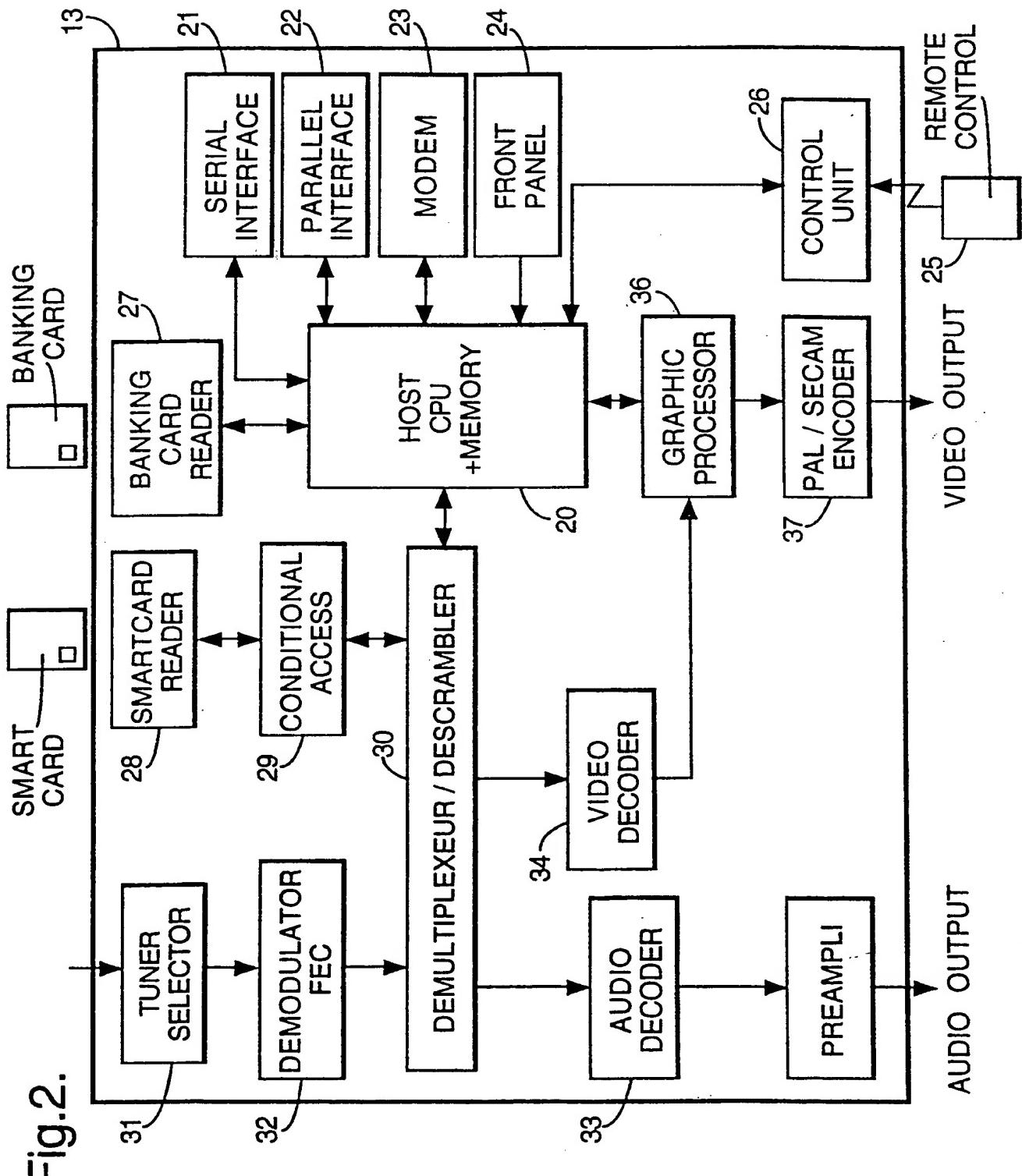
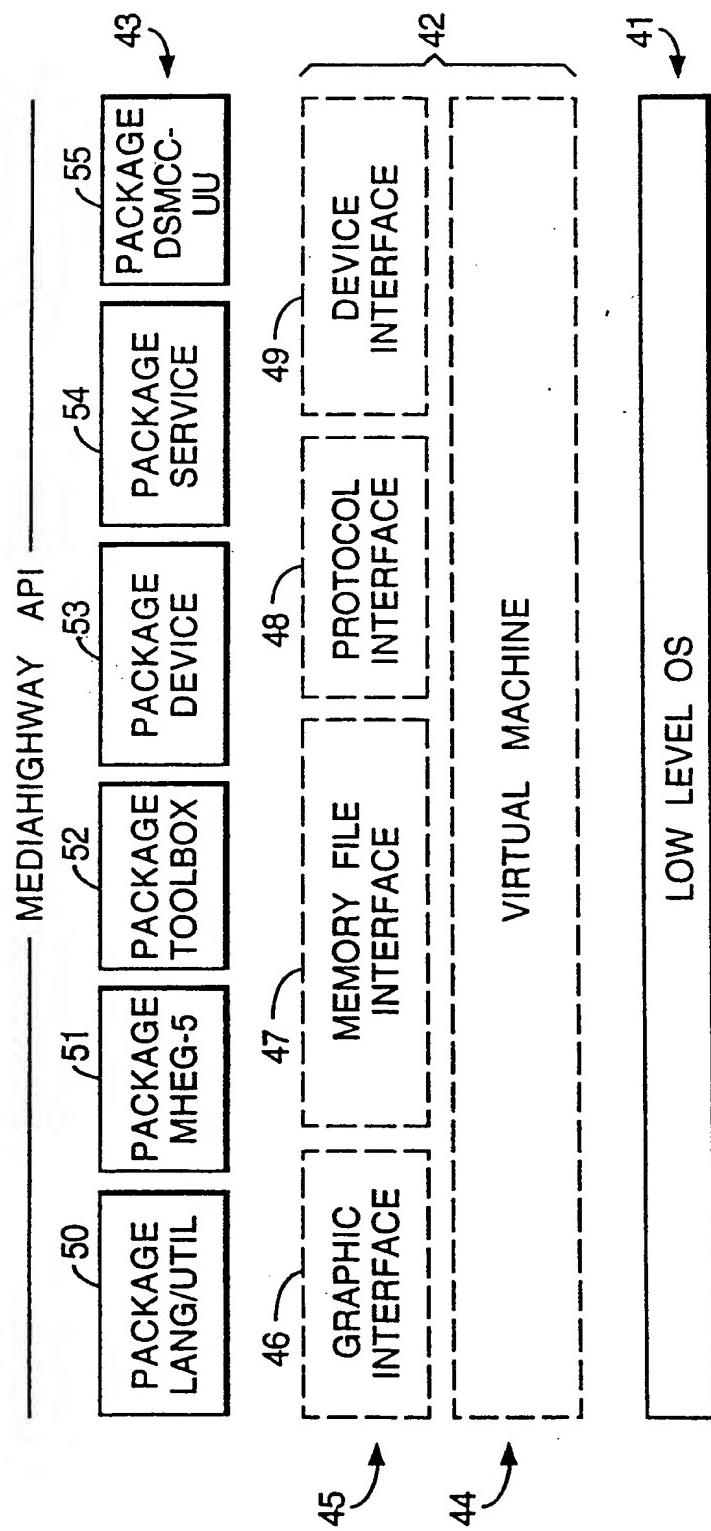


Fig.3.



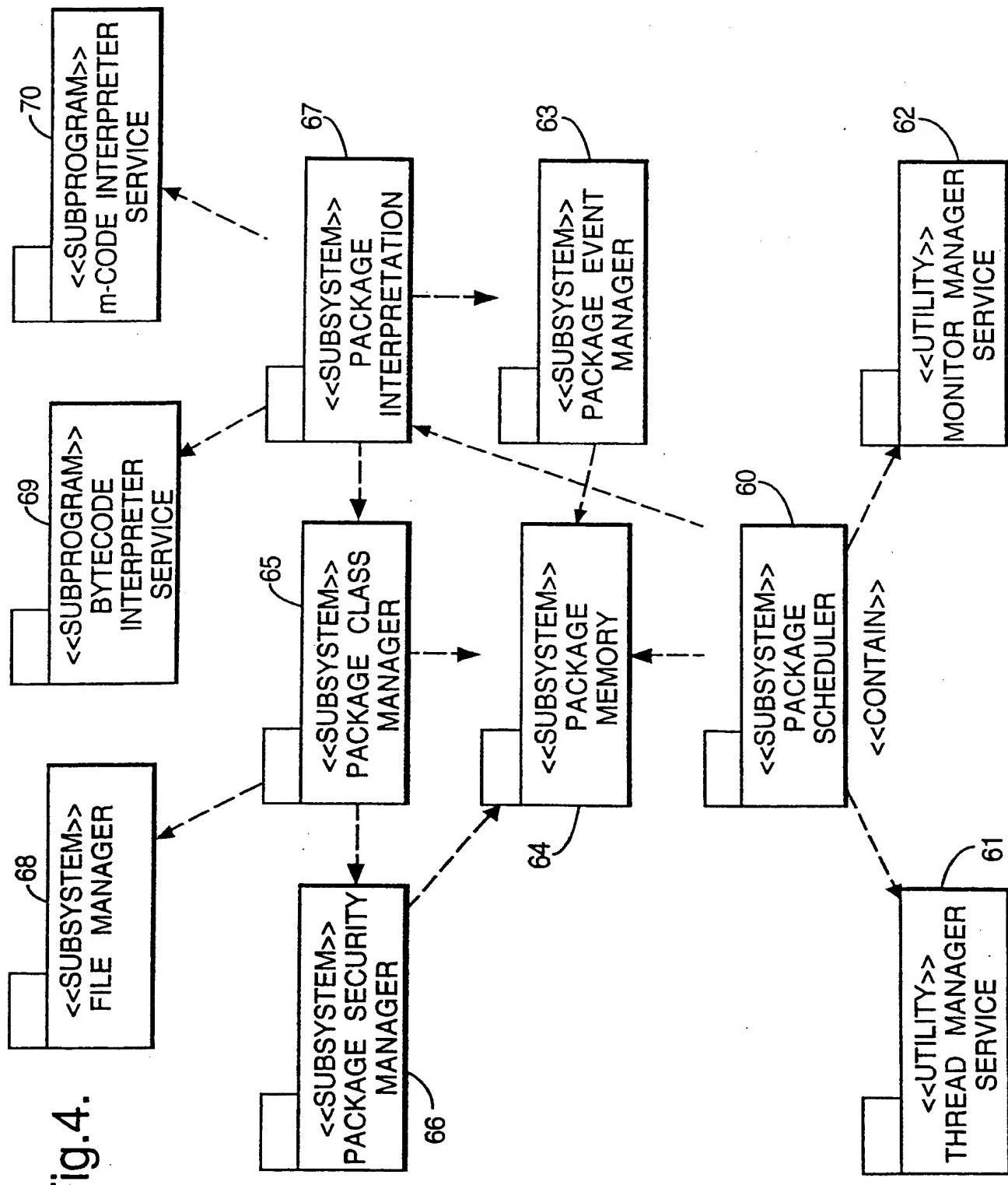


Fig.5.

Profile	Definition
VIEWER	Traditional user
RECORDER	System user recording on VHS device
INTERNET	User connecting to Internet
DATA_BRIDGE	System user routing information to PC

Fig.7.

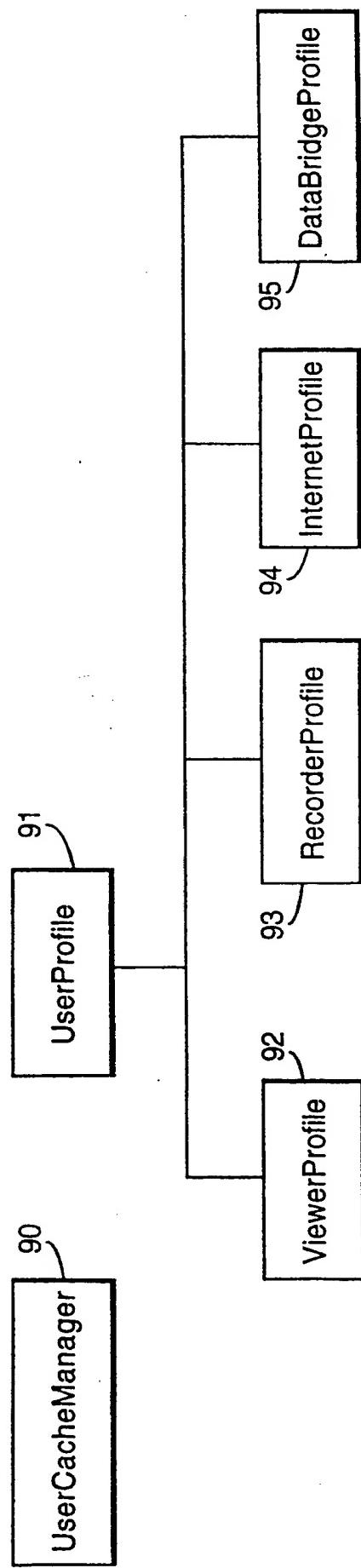


Fig. 6.

